



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/764,366 | 01/18/2001 | Ulrich A. Muller | 10366-014 |

20583
PENNIE AND EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 100362711

CONFIRMATION NO. 7123
FORMALITIES LETTER



OC000000005833543

Date Mailed: 03/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

H.T

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



H-TH 06-07-01

#5 Sector \$

Express Mail No.: EL 501 640 159 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Müller et al.

Application No.: 09/764,366

Group Art Unit: 2163

Filed: January 18, 2001

Examiner: Not yet assigned

For: METHOD FOR MARKET MAKING

Attorney Docket No.: 10366-014

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

1 Month Extension. Pursuant to 37 C.F.R. 1.136(a), Applicants hereby petition for a 1-month extension of time to respond to the Notice To File Missing Parts Of Nonprovisional Application mailed on March 7, 2001. This extension will extend the response due date to June 7, 2001. The estimated fee for this extension is \$55 (small entity).

In response to the Notice To File Missing Parts Of Nonprovisional Application mailed on March 7, 2001, a copy of which is enclosed, applicants submit herewith, pursuant to 37 CFR 1.63 and MPEP § 201.06(c), a Declaration for Non-Provisional Patent Application executed by the inventors, along with a Power of Attorney executed by an authorized officer of the assignee, a Verified Statement Claiming Small Entity Status, and eight sheets of substitute drawings. An Assignment from the inventors to the assignee is simultaneously being submitted under separate cover to Box Assignment.

The fee for the submission of this response is estimated to be \$65 (small entity). Combining this fee with the above extension fee, the estimated **total required fee is \$120**. Please charge all required fees to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Steven S. Underwood (Reg. No. 47,205)

24,615

Date June 6, 2001

Reg. No.

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For Francis E. Morris
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Enclosures